



PATENT

Attorney Docket No. 39227-0706

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--|---|------------------------------|
| In re Application |) | <u>PATENT APPLICATION</u> |
| |) | |
| Inventor(s): Ariel Kelman, et al. |) | |
| |) | Art Unit: 3627 |
| Application No.: 10/701,995 |) | |
| |) | Examiner: Florian M. Zeender |
| Filed: November 4, 2003 |) | |
| |) | |
| Title <i>Method and Apparatus for Managing Sales</i> |) | |
| <i>Data</i> |) | |
| |) | |

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

EXPRESS MAIL NO. EL 993 634 277 US
MAILING DATE: APRIL 22, 2005

Mail Stop Amendment
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, along with a concise explanation of information in a foreign language, if any, except for pending U.S. applications is attached or is identified with an asterisk as having been previously cited in priority U.S. Patent Application No.: 09/429,240, filed: 10/28/99, pursuant to 37 C.F.R. §1.97-1.98.

1.98(d) A copy of any patent, publication or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the PTO-1449 in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$ 0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39227-0706).

Respectfully submitted,

HELLER EHRMAN LLP

Dated: April 22, 2005

By: 

Hao Y. Tung, Reg. No. 43,209

275 Middlefield Road
Menlo Park, CA 94025-3506
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Customer No. 25213

INFORMATION DISCLOSURE
STATEMENT

PTO-1449

ATTY. DOCKET NO.

39227-0706 US

SERIAL NO.

10/701,995

APPLICANT Ariel Kelman , et al.

FILING DATE 11/04/2003

GROUP: 3627

U.S. PATENT DOCUMENTS

| EXAMINER'S INITIALS | PATENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
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FOREIGN PATENT DOCUMENTS

| EXAMINER'S INITIALS | PATENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|------------------------|---------------|---------|---------------|-------|----------|--------------------------|--------------------------|
| | | | | | | YES | NO |
| | *EP 514231 A2 | 11/1992 | Great Britian | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| INFORMATION DISCLOSURE STATEMENT PTO-1449 | ATTY. DOCKET NO. 39227-0706 US | SERIAL NO. 10/701,995 |
| | APPLICANT Ariel Kelman , et al. | |
| | FILING DATE 11/04/2003 | GROUP: 3627 |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.